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CF-51

GROUP 3600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Andrew C. Gilbert et al.
Application No. : 10/040,837 Confirmation No.: 2702
Filed : January 7, 2002
For : METHODS AND SYSTEMS FOR PROVIDING
CROSSING MARKETS
Group Art Unit : 2161

New York, New York
April 7, 2003

Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

APR 14 2003
GROUP 3600

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information
Disclosure Statement in the above-identified application.
This Statement is submitted:

- [] within three months of the application filing date;
[X] more than three months from the application
filing date but before the mailing date of the
first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason a
fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this

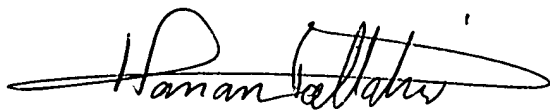
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Supplemental Information Disclosure Statement to Deposit
Account No. 06-1075. A duplicate copy of this letter is
transmitted herewith.

Respectfully submitted,



Hassan Albakri
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PATENTS P.O. BOX 2327
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Claire J. Saintil-van Goodman
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Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

New York, New York
April 7, 2003

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to the
following references:

US PATENTS

| | | |
|----------------|----------------------|---------------|
| Lupien et al. | Patent No. 6,098,051 | Aug. 01, 2000 |
| Rickard et al. | Patent No. 6,112,189 | Aug. 29, 2000 |

Copies of the aforementioned references, which are
listed on the accompanying Form PTO-1449 (submitted in
duplicate), are enclosed herewith.

Applicants request that a copy of the Form PTO-1449,
as considered and initialized by the Examiner, be returned
with the next communication.

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Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



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Claird Saintil-van Goodman
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-51APPLICATION NO.
10/040,837INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANT
Andrew C. Gilbert et al.CONFIRMATION NO.
2702FILING DATE
January 7, 2002GROUP
2161

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|----------|----------------|-------|----------|----------------------------------|
| | 6,098,051 | 08/01/00 | Lupien et al. | 705 | 37 | |
| | 6,112,189 | 08/29/00 | Rickard et al. | 705 | 37 | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--------------------|------|---------|-------|----------|-------------|----|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER INITIAL | |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.



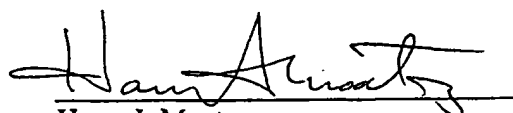
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 6, 2003


Harry I. Moatz
Director of Enrollment and Discipline

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